

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/705,652	11/10/2003	Peter Callas	80121-08601	6132
758	7590 05/05/2005		EXAMINER	
FENWICK & WEST LLP SILICON VALLEY CENTER			MENDOZA, MICHAEL G	
801 CALIFORNIA STREET			ART UNIT PAPER NUMBER	
MOUNTAI	N VIEW, CA 94041		3731	

DATE MAILED: 05/05/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

			SP			
··		Application No.	Applicant(s)			
		10/705,652	CALLAS ET AL.			
	Office Action Summary	Examiner	Art Unit			
		Michael G. Mendoza	3731			
Period f	The MAILING DATE of this communication apports. The MAILING DATE of this communication apports.	pears on the cover sheet with the c	orrespondence address			
THE - External control	MORTENED STATUTORY PERIOD FOR REPLIMAILING DATE OF THIS COMMUNICATION. ensions of time may be available under the provisions of 37 CFR 1.1 r SIX (6) MONTHS from the mailing date of this communication. e period for reply specified above is less than thirty (30) days, a reploperiod for reply is specified above, the maximum statutory period cure to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be timely within the statutory minimum of thirty (30) daywill apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).			
Status						
1)🛛	Responsive to communication(s) filed on 18 C	<u> October 2004</u> .				
2a) <u></u> ☐	This action is FINAL . 2b)⊠ This action is non-final.					
3)[Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
	closed in accordance with the practice under be	Ex parte Quayle, 1935 C.D. 11, 45	33 O.G. 213.			
Disposit	tion of Claims					
 4) Claim(s) 1-15 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) 4,12 and 13 is/are allowed. 6) Claim(s) 1-3,5-7,9,11 and 14 is/are rejected. 7) Claim(s) 8,10 and 15 is/are objected to. Claim(s) are subject to restriction and/or election requirement. 						
Applicat	tion Papers					
9) The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) □ accepted or b) □ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
11)[Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the E					
Priority	under 35 U.S.C. § 119					
12) <u>□</u> aj	Acknowledgment is made of a claim for foreign All b) Some * c) None of: 1. Certified copies of the priority document Certified copies of the priority document Copies of the certified copies of the priority document Copies of the certified copies of the priority document Copies of the certified copies of the priority document Copies of the certified copies of the priority document Copies of the certified copies of the priority document Copies of the certified copies of the priority document Copies of the certified copies of the priority document Copi	ts have been received. ts have been received in Applicati prity documents have been receive tu (PCT Rule 17.2(a)).	on No ed in this National Stage			

U.S. Patent and Trademark Office PTOL-326 (Rev. 1-04)

1) Notice of References Cited (PTO-892)

Paper No(s)/Mail Date _

·2) Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)

Attachment(s)

4) Interview Summary (PTO-413)

6) Other: _

Paper No(s)/Mail Date. ___

5) Notice of Informal Patent Application (PTO-152)

Art Unit: 3731

DETAILED ACTION

Response to Arguments

1. Applicant's arguments with respect to claims have been considered but are most in view of the new ground(s) of rejection.

Double Patenting

2. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

3. Claims 1-3, 5-7, 11, and 14 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-8 of U.S. Patent No. 6811546 in view of Fogarty et al. 5979452. Although the conflicting claims are not identical, they are not patentably distinct from each other because the application claims merely adds a feature absent from the patent claim. The structural limitations set forth in the application claims are also claimed the patent claims, i.e., a body including a central bore, a balloon near a distal end, a resilient sealing member, an insufflation inlet, and an auxiliary sealing member.

Application/Control Number: 10/705,652 Page 3

Art Unit: 3731

4. The difference between Claims 1 and 5 of the instant application and the patent claims is the inflatable balloon expanding radially outwardly about the body unobstructively of the central bore.

- 5. Forgarty et al. teaches a balloon expanding radially outwardly about the body unobstructively of a central bore.
- 6. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to attach balloon of Forgarty et al. to the attachment site of the patent to allow dissection of a surgical site and provide a access to an endoscopic instrument.
- 7. As to Claims 2 and 3 of the instant application, the patent claims fails to teach the toroidally-shaped balloon.
- 8. However, the use of toroidally-shaped balloons is old and well known in the art of internal pressure applicators (evidenced by US Patents 3848602, 4364392, 4723549). Therefore, it would have been obvious to use a toroidally-shaped balloon as an obvious design choice for forming a seal within the body of a patient.
- 9. As to Claim 5 of the instant application, the patent claim teaches a sealing member for attachment to a body having a central bore. It would have been obvious to provide the body having the central bore for the sealing member to attach to.

Claim Rejections - 35 USC § 103

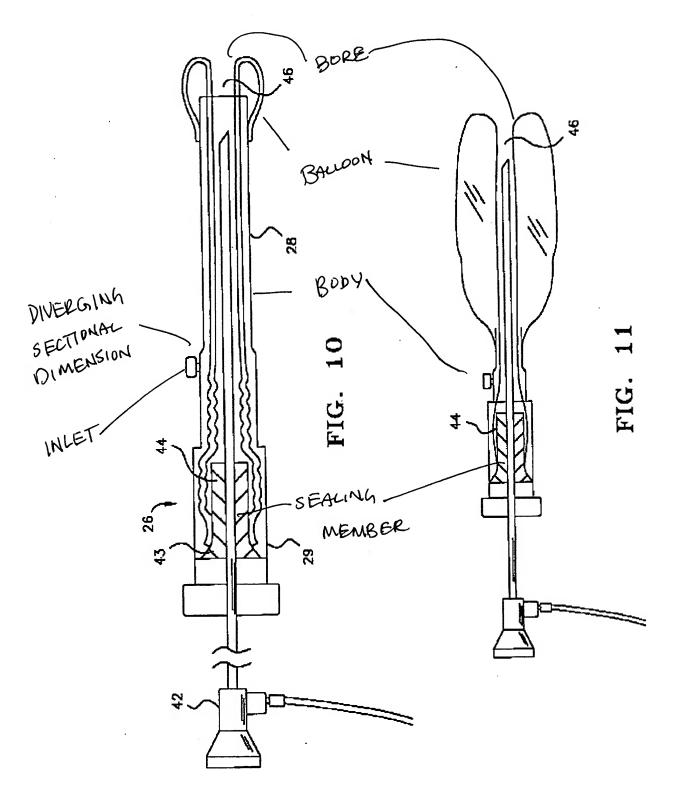
10. Claims 1-3, 7, 9, and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Fogarty et al. 5979452 in view of Chin 5873889

Art Unit: 3731

11. Fogarty et al. teaches fluid sealing apparatus for operation with an endoscopic instrument at a surgical site, the apparatus comprising: a body having a central bore; an inflatable element expanding radially outwardly about the body unobstructively of the central bore; and a resilient fluid seal. It should be noted that Fogarty et al. fails to teach wherein the resilient fluid seal is disposed external to the body.

Page 4

Art Unit: 3731



12. Chin teaches a device with a common external resilient fluid seal 805.

Therefore, it would have been obvious to one having ordinary skill in the art at

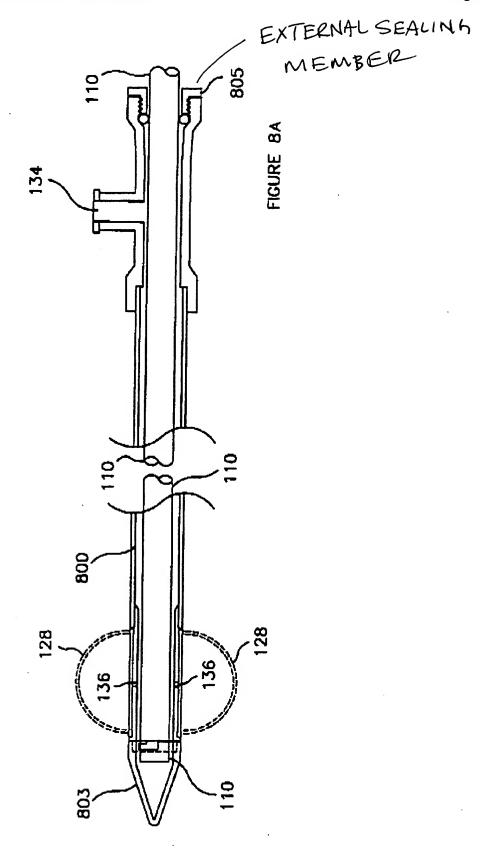
Page 6

Application/Control Number: 10/705,652

Art Unit: 3731

the time the invention was made to place the seal externally for easy replacement if damaged or for easy cleaning.

Art Unit: 3731



Art Unit: 3731

Allowable Subject Matter

- 13. Claims 4, 12, and 13 are allowable over the prior art of record.
- 14. Claims 8, 10, and 15 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
- 15. The following is a statement of reasons for the indication of allowable subject matter: the prior art of record fails to teach or render obvious the overall claimed endoscopic surgical procedure performed through an access port, the procedure comprising: forming a fluid-tight seal in an access port in response to insertion of a endoscopic instrument in the access port; insufflating the anatomical space with fluid under pressure during formation of the fluid-tight seal; and disabling a fluid-tight seal within the access port to permit deflating the anatomical space inflated with fluid under pressure upon removal of an endoscopic instrument from within the access port.

Contacts

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael G. Mendoza whose telephone number is (571) 272-4698. The examiner can normally be reached on Mon.-Fri. 8:00 a.m. - 5:00 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anh Tuan Nguyen can be reached on (571) 272-44963. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 3731

Page 9

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

MM

MM

GLENN K. DAWSON PRIMARY EXAMINER